

# PHY 4154

## Nuclear and Particle Physics

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# Symmetries

- ▶ Physical laws invariant under some symmetry  $\Leftrightarrow$  Conservation principle
- ▶ Time  $\Leftrightarrow$  Energy, Rotation  $\Leftrightarrow$  Angular momentum

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- ▶ Some symmetries are perfect (conservation laws hold exactly), some are broken (laws hold approximately).
- ▶ We aim to study angular momentum, discrete symmetries and so on. Let us first consider some additive quantum numbers like charge, and see some formalism.

# Transformation

- ▶ Consider system described by time-indep  $H$ , where  $\psi$  satisfies Schrodinger equation

$$i\hbar \frac{d\psi}{dt} = H\psi \quad (1)$$

- ▶ If  $\hat{F}$  is an operator, then the observable  $F$  in state  $\psi(t)$  is given by  $\langle \hat{F} \rangle$ .
- ▶  $\langle \hat{F} \rangle$  is conserved if

$$[H, \hat{F}] = 0 \Rightarrow \frac{d}{dt} \langle \hat{F} \rangle = 0 \quad (2)$$

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- ▶ If  $\psi'$  satisfies same Schrodinger equation,  $U$  is a symmetry operator.
- ▶ We have

$$\begin{aligned} i\hbar \frac{d}{dt}(U\psi) &= H(U\psi) \Rightarrow i\hbar \frac{d\psi}{dt} = U^{-1} H U \psi \\ \therefore H &= U^{-1} H U = U^\dagger H U \Rightarrow [H, U] = 0 \end{aligned}$$

- ▶ The symmetry operator commutes with the Hamiltonian.

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- ▶ Transformations can be continuous (rotation) or non-continuous (parity).
- ▶ For continuous transformations we can write

$$U = e^{i\epsilon F}$$

where  $F$  is the generator of the transformation, and  $\epsilon$  is a real parameter.

# Transformation

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- ▶ If  $U$  is not hermitian, then  $F$  is the corresponding observable.
- ▶ Invariance under continuous transform  $\Leftrightarrow$  Additive conservation law.  
Invariance under noncontinuous transform  $\Leftrightarrow$  Multiplicative conservation law.

# Translation

Let  $\psi'(x) = U(\Delta)\psi(x)$  ( $\Delta$  displacement along  $x$ ). If system is invariant under translation, then  $\psi$  and  $\psi'$  both satisfy the Schrodinger equation, and  $[H, U] = 0$ .

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$$\hookrightarrow (1 - \Delta\frac{d}{dx})\psi = (1 - \Delta\frac{d}{dx})(1 + \Delta\frac{d}{dx})\psi'$$

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ignoring terms of  $\mathcal{O}(\Delta^2)$  in the last step.

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- ▶ Thus  $U(\Delta) \sim (1 - \Delta \frac{d}{dx})$ .
- ▶ Generator proportional to linear momentum operator  $i \frac{d}{dx}$ .
- ▶ Invariance under translation  $\rightarrow$  conservation of momentum.
- ▶ Similarly we can show invariance under a local gauge transformation  $\rightarrow$  conservation of charge.
- ▶ A local gauge transformation is  $\psi' = e^{i\epsilon(\vec{x},t)}Q\psi$ , where  $\epsilon$  is real and arbitrary, and  $Q$  is charge operator with  $[H, Q] = 0$ .

# Charge conservation

- ▶ Absence of  $e \rightarrow \nu \gamma$  implies electric charge is conserved.
- ▶ Total charge conserved in a reaction, so if  $a + b \rightarrow c + d + e$ , then  $N_a + N_b = N_c + N_d + N_e$  where charge of a particle  $q = Ne$  (an integral multiple of  $e$ ).
- ▶ This is an additive conservation law. What is the symmetry principle?

# Charge conservation

- ▶ Say,  $\psi$  described a state with charge  $q$ , and satisfies

$$i\hbar \frac{d\psi}{dt} = H\psi$$

- ▶ If  $Q$  is the charge operator, then  $\langle Q \rangle$  is conserved and  $[Q, H] = 0$  and thus  $Q$  and  $H$  have simultaneous eigenfunctions

$$Q\psi = q\psi$$

and the eigenvalue  $q$  is also conserved.

- ▶ Here a gauge transformation is the symmetry

$$\psi' = e^{i\epsilon Q}\psi$$

where  $\epsilon$  is real and arbitrary.

# Charge conservation

We'll now illustrate that  $q$  is electric charge using local gauge invariance.

- ▶ Let  $q$  be electric charge, and say there is a static  $E$ -field with  $\vec{E} = -\vec{\nabla} A_0$ , where  $A_0$  is the scalar potential ( $\vec{A}$  is the vector potential).
- ▶ We have  $H = H_0 + qA_0$ , where  $H_0$  is the Hamiltonian when  $E$ -field is absent.

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- ▶ We have  $H = H_0 + qA_0$ , where  $H_0$  is the Hamiltonian when  $E$ -field is absent.
- ▶ For free particle,  $H_0 = p^2/2m = -\hbar^2\nabla^2/2m$
- ▶ The  $E, B$  fields are unchanged by gauge transformations  $A_0 \rightarrow A'_0$ , and  $\vec{A} \rightarrow \vec{A}'$ .
- ▶ If  $\Lambda(\vec{x}, t)$  is an arbitrary function of position and time,

$$A'_0 = A_0 - \frac{1}{c} \frac{\partial}{\partial t} \Lambda(\vec{x}, t)$$
$$\vec{A}' = \vec{A} + \vec{\nabla} \Lambda(\vec{x}, t)$$

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A local gauge transformation is  $\psi' = e^{i\epsilon(\vec{x},t)Q}\psi$ .

- ▶ Let us take  $\Lambda(t), \epsilon(t)$  to simplify algebra (no  $\vec{x}$  dependence).
- ▶ Impose invariance under local gauge transformation

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Put in  $A'_0$  and  $\psi'$

$$i\hbar \frac{\partial}{\partial t} (e^{i\epsilon(t)Q}\psi) = \left( \frac{-\hbar^2}{2m} \nabla^2 + qA_0 - \frac{q}{c} \frac{\partial \Lambda}{\partial t} \right) e^{i\epsilon(t)Q}\psi$$

$$e^{i\epsilon(t)Q} \left( i\hbar \frac{\partial \psi}{\partial t} - \hbar Q \psi \frac{\partial \epsilon}{\partial t} \right) = e^{i\epsilon(t)Q} \left( \frac{-\hbar^2}{2m} \nabla^2 + qA_0 - \frac{q}{c} \frac{\partial \Lambda}{\partial t} \right) \psi$$

This gives

$$\hbar Q \frac{\partial \epsilon}{\partial t} = \frac{q}{c} \frac{\partial \Lambda(t)}{\partial t}$$

## Charge conservation

$$\hbar Q \frac{\partial \epsilon}{\partial t} = \frac{q}{c} \frac{\partial \Lambda(t)}{\partial t}$$

Since  $\epsilon(t)$  and  $\Lambda(t)$  are arbitrary functions of space/time, say

$$\Lambda(t) = \hbar c \epsilon(t)$$

Together this gives us  $Q\psi = q\psi$ .

As phase of the wavefunction varies as  $\epsilon(\vec{x}, t)$ , the variation is counteracted by corresponding changes in EM potential given by  $\Lambda(\vec{x}, t) = \hbar c \epsilon(\vec{x}, t)$ .



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Consider an experiment setup in the X-Y plane, described by wave function  $\psi(\vec{x})$ .

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As earlier, we can show now that

$$\psi^R(\vec{x}) = \left(1 - \delta\phi\frac{\partial}{\partial\phi}\right)\psi^R(\vec{x}^R) = \left(1 - \delta\phi\frac{\partial}{\partial\phi}\right)\psi(\vec{x})$$

where we have

- (a) neglected terms of  $\mathcal{O}(\delta^2)$  and
- (b) used rotational invariance (i.e.  $\psi(\vec{x}) = \psi^R(\vec{x}^R)$ )

# Rotational Symmetry

Looking at

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we can say  $\epsilon = \delta\phi$  and  $\hat{F} = i\partial/\partial\phi$ .

Of course, the eigenfunctions and eigenvalues of  $\hat{F}$  are known...

$$\hat{F} = -\frac{\hat{L}_z}{\hbar}$$

## Angular momentum review

Classically, angular momentum is  $\vec{L} = \vec{r} \times m\vec{v}$ . In QM,  
(a)  $L$  is quantized (b) Can't measure all 3 components at same time.

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Typically we measure  $L^2 = \vec{L} \cdot \vec{L}$ , and the z-component  $L_z$ .

$$L^2 : \ell(\ell + 1)\hbar^2 \text{ where } \ell = 0, 1, 2, 3, \dots$$

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Similarly, for spin angular momentum  $\vec{S}$  we have

$$S^2 : s(s + 1)\hbar^2 \text{ where } s = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \dots$$

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Fundamental particles have fixed spin.

Composite particles in addition have  $L$ , and several  $L$  states are possible. Thus electrons, protons have  $s = \frac{1}{2}$ , pions or kaons have  $s = 0$ , and photons or gluons have  $s = 1$ .

## Addition of Angular momentum

Let us denote angular momentum states as follows:

$|\ell, m_\ell\rangle$  or  $|s, m_s\rangle$ .

The total angular momentum, in situations where say orbital and spin angular momenta get coupled is obtained by  $\mathbf{J} = \mathbf{L} + \mathbf{S}$ .

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Suppose we have two states  $|j_1, m_1\rangle$ , and  $|j_2, m_2\rangle$ , the sum of them will be denoted as  $|j, m\rangle$ .

In a straightforward way, we will have  $m = m_1 + m_2$

The  $j$  will take values as  $j = (j_1 + j_2), \dots, |j_1 - j_2|$  in integer steps.



## Addition of Angular momentum

Thus if the states are  $|1, 0\rangle$  and  $|\frac{1}{2}, \frac{1}{2}\rangle$ ,  
then  $m = \frac{1}{2}$ , and  $j$  can take two values,  $1 + \frac{1}{2}$  and  $1 - \frac{1}{2}$   
 $j = \frac{3}{2}, \frac{1}{2}$ .

Thus we can get two states,  $|\frac{3}{2}, \frac{1}{2}\rangle$  and  $|\frac{1}{2}, \frac{1}{2}\rangle$  from the addition of  
 $|1, 0\rangle$  and  $|\frac{1}{2}, \frac{1}{2}\rangle$

We write this as

$$|1, 0\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \alpha|\frac{3}{2}, \frac{1}{2}\rangle + \beta|\frac{1}{2}, \frac{1}{2}\rangle$$

with the ability to calculate  $\alpha$  and  $\beta$ .

# Addition of Angular momentum

In general

$$|j_1, m_1\rangle |j_2, m_2\rangle = \sum_{j=|j_1-j_2|}^{j_1+j_2} C_{mm_1m_2}^j j^1 j^2 |j, m\rangle$$

Here the  $C$ 's are Clebsch-Gordon coefficients, and we can look them up in a table.

$1/2 \times 1/2$ <table><tr><td>1</td><td>0</td><td>0</td></tr><tr><td>+1/2 +1/2</td><td>1</td><td>0</td></tr><tr><td>+1/2 -1/2</td><td>1/2</td><td>1/2</td></tr><tr><td>-1/2 -1/2</td><td>1/2</td><td>-1/2</td></tr><tr><td>-1/2 -1/2</td><td>1</td><td>1</td></tr></table>	1	0	0	+1/2 +1/2	1	0	+1/2 -1/2	1/2	1/2	-1/2 -1/2	1/2	-1/2	-1/2 -1/2	1	1	$Y_0^1 = \sqrt{\frac{3}{4\pi}} \cos \theta$	$2 \times 1/2$ <table><tr><td>5/2</td><td>3/2</td></tr><tr><td>+2 +1/2</td><td>1</td></tr><tr><td>+2 -1/2</td><td>1/5</td><td>4/5</td><td>5/2</td><td>3/2</td></tr><tr><td>+1 +1/2</td><td>4/5</td><td>-1/5</td><td>1/2</td><td>1/2</td></tr></table>	5/2	3/2	+2 +1/2	1	+2 -1/2	1/5	4/5	5/2	3/2	+1 +1/2	4/5	-1/5	1/2	1/2	<table><tr><td><math>m_1</math></td><td><math>m_2</math></td></tr><tr><td><math>m_1</math></td><td><math>m_2</math></td></tr><tr><td>.</td><td>.</td></tr><tr><td>.</td><td>.</td></tr><tr><td>.</td><td>.</td></tr></table> <div>Coefficients</div>	$m_1$	$m_2$	$m_1$	$m_2$	.	.	.	.	.	.																																																																															
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## Addition of Angular momentum

$$|1, 0\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \alpha|\frac{3}{2}, \frac{1}{2}\rangle + \beta|\frac{1}{2}, \frac{1}{2}\rangle$$

Here we are adding  $j_1 = 1$  and  $j_2 = \frac{1}{2}$ , so look for the  $1 \times 1/2$  table.

# Addition of Angular momentum

$$|1, 0\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \alpha|\frac{3}{2}, \frac{1}{2}\rangle + \beta|\frac{1}{2}, \frac{1}{2}\rangle$$

Here we are adding  $j_1 = 1$  and  $j_2 = \frac{1}{2}$ , so look for the  $1 \times 1/2$  table.

$1 \times 1/2$		$\frac{3}{2}$ + $\frac{3}{2}$			$\frac{3}{2}$	$\frac{1}{2}$
+1	+1/2	1	+1/2	+1/2		
	+1 -1/2	1/3	2/3	3/2	1/2	
	0 +1/2	2/3	-1/3	-1/2	-1/2	
		0 -1/2	2/3	1/3	3/2	
		-1 +1/2	1/3	-2/3	-3/2	
$2 \times 1$		3 +3	3	2	-1 -1/2	1

Here  $m_1 = 0$  and  $m_2 = \frac{1}{2}$ , so look for the row corresponding to  $0, 1/2$ .

This gives two numbers  
 $2/3$  and  $-1/3$

Remember to take the square root and we can write

$$|1, 0\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \sqrt{\frac{2}{3}}|\frac{3}{2}, \frac{1}{2}\rangle - \sqrt{\frac{1}{3}}|\frac{1}{2}, \frac{1}{2}\rangle$$

## Addition of Angular momentum: another example

$$|1, 1\rangle|\frac{1}{2}, -\frac{1}{2}\rangle = \alpha|\frac{3}{2}, \frac{1}{2}\rangle + \beta|\frac{1}{2}, \frac{1}{2}\rangle$$

Here we are adding  $j_1 = 1$  and  $j_2 = 1/2$ , so look for the  $1 \times 1/2$  table.

The diagram illustrates the addition of two 2x2 matrices to produce a 4x4 result matrix. The first 2x2 matrix is labeled  $1 \times 1/2$  and has elements  $3/2$ ,  $3/2$ ,  $1/2$ , and  $1/2$ . The second 2x2 matrix is labeled  $2 \times 1$  and has elements  $3$ ,  $3$ ,  $2$ , and  $1$ . The resulting 4x4 matrix is shown with its elements:  $3/2$ ,  $3/2$ ,  $1/2$ ,  $1/2$  in the first row;  $1/3$ ,  $2/3$ ,  $3/2$ ,  $1/2$  in the second row;  $2/3$ ,  $-1/3$ ,  $-1/2$ ,  $-1/2$  in the third row; and  $0$ ,  $-1/2$ ,  $2/3$ ,  $1/3$ ,  $3/2$  in the fourth row. The elements are arranged in a grid that is 4 units wide and 4 units high, with the first two rows corresponding to the first 2x2 matrix and the last two rows corresponding to the second 2x2 matrix.

## Addition of Angular momentum: another example

$$|1, 1\rangle|\frac{1}{2}, -\frac{1}{2}\rangle = \alpha|\frac{3}{2}, \frac{1}{2}\rangle + \beta|\frac{1}{2}, \frac{1}{2}\rangle$$

Here we are adding  $j_1 = 1$  and  $j_2 = \frac{1}{2}$ , so look for the  $1 \times 1/2$  table.

$1 \times 1/2$		$\frac{3}{2}$			
	$+\frac{3}{2}$	$\frac{3}{2}$	$\frac{1}{2}$		
$+1$	$+\frac{1}{2}$	1	$+\frac{1}{2}$	$+\frac{1}{2}$	
	$+1$	$-\frac{1}{2}$	$\frac{1}{3}$	$\frac{2}{3}$	$\frac{3}{2}$
	$0$	$+\frac{1}{2}$	$\frac{2}{3}$	$-\frac{1}{3}$	$\frac{1}{2}$
		$0$	$-\frac{1}{2}$	$\frac{2}{3}$	$\frac{1}{3}$
			$-\frac{1}{3}$	$-\frac{2}{3}$	$-\frac{3}{2}$
$2 \times 1$	$\frac{3}{2}$			$\frac{3}{2}$	
	$+\frac{3}{2}$	3	2	$-\frac{1}{2}$	1

Here  $m_1 = 1$  and  $m_2 = -\frac{1}{2}$ , so look for the row corresponding to

$1, -1/2$ .

This gives two numbers

$1/3$  and  $2/3$

Remember to take the square root and we can write

$$|1, 1\rangle|\frac{1}{2}, -\frac{1}{2}\rangle = \sqrt{\frac{1}{3}}|\frac{3}{2}, \frac{1}{2}\rangle + \sqrt{\frac{2}{3}}|\frac{1}{2}, \frac{1}{2}\rangle$$

## Addition of Angular momentum: and one more

$$|2, -1\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \alpha|\frac{5}{2}, -\frac{1}{2}\rangle + \beta|\frac{3}{2}, -\frac{1}{2}\rangle$$

Here we are adding  $j_1 = 2$  and  $j_2 = \frac{1}{2}$ , so look for the  $2 \times \frac{1}{2}$  table.

Diagram illustrating a sequence of boxes (1 to 10) showing a pattern of fractions. The boxes are arranged in a staircase pattern, with each box containing a 2x2 grid of fractions. The fractions in the boxes are:

- Box 1:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 2:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 3:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 4:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 5:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 6:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 7:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 8:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 9:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$
- Box 10:  $\frac{5}{2}$ ,  $\frac{3}{2}$ ,  $\frac{1}{2}$ ,  $\frac{1}{2}$

## Addition of Angular momentum: and one more

$$|2, -1\rangle|\frac{1}{2}, \frac{1}{2}\rangle = \alpha|\frac{5}{2}, -\frac{1}{2}\rangle + \beta|\frac{3}{2}, -\frac{1}{2}\rangle$$

Here we are adding  $j_1 = 2$  and  $j_2 = 1/2$ , so look for the  $2 \times 1/2$  table.

Diagram illustrating the construction of a 2x2 grid of 2x2 blocks, showing the combination of blocks and the resulting matrix elements.

The blocks and their internal matrices are:

- Top-left block (labeled  $2 \times 1/2$ ):  $\begin{bmatrix} 5/2 & 5/2 \\ +2+1/2 & 1 \end{bmatrix}$
- Top-right block (labeled  $5/2 \ 3/2$ ):  $\begin{bmatrix} 5/2 & 3/2 \\ +3/2+3/2 & 1 \end{bmatrix}$
- Bottom-left block (labeled  $2 \times 1/2$ ):  $\begin{bmatrix} +2-1/2 & 1/5 \\ +1+1/2 & 4/5-1/5 \end{bmatrix}$
- Bottom-right block (labeled  $5/2 \ 3/2$ ):  $\begin{bmatrix} 5/2 & 3/2 \\ +1/2+1/2 & 1 \end{bmatrix}$

The diagram shows the combination of these blocks to form a larger grid, with arrows indicating the flow of information and the resulting matrix elements.

Here  $m_1 = -1$  and  $m_2 = 1/2$ , so look for the row corresponding to  $-1, 1/2$ .

This gives two numbers  
 $\frac{2}{5}$  and  $-\frac{3}{5}$

Remember to take the square root and we can write

$$|2, -1\rangle |\frac{1}{2}, \frac{1}{2}\rangle = \sqrt{\frac{2}{5}} |\frac{5}{2}, -\frac{1}{2}\rangle - \sqrt{\frac{3}{5}} |\frac{3}{2}, -\frac{1}{2}\rangle$$



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$1/2 \times 1/2$

				1				
				+1		1	0	
				1		0	0	
			+1/2 +1/2					
			-1/2 +1/2		1/2 1/2	1		
					1/2 -1/2	-1		
					-1/2 -1/2		1	

$$|\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, \frac{1}{2}\rangle = |1, 1\rangle$$

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Inverting..

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		1		
	+1	1	0	
+1/2+1/2	1	0	0	
+1/2 -1/2	1/2 1/2	1		
-1/2 +1/2	1/2 -1/2	-1		
	-1/2 -1/2	1		

$$|1, 1\rangle = |\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, \frac{1}{2}\rangle$$

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We observe  $u\bar{u}$  bound states. (In practice it is a  $\frac{u\bar{u}-d\bar{d}}{\sqrt{2}}$  bound state)

When  $J = 0$  it is a  $\pi^0$  meson ( $m_{\pi^0} = 135$  MeV),

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(In practice this is more complicated).

# Addition of Angular momentum

Now say we have three quarks bound together, with say  $L = 0$ , to form a baryon.

Thus  $j = \frac{3}{2}, \frac{1}{2}$ .

The baryon can have spin of  $\frac{3}{2}$ , or it can have spin of  $\frac{1}{2}$  in two ways

(i) first two add to 1 and we subtract  $\frac{1}{2}$  or

(ii) first two add to 0 and we add  $\frac{1}{2}$ .

We have the  $\frac{3}{2}$  give the baryon decuplet, and one  $\frac{1}{2}$  give the baryon octet, and in the quark model, we can have another family with  $s = \frac{1}{2}$ .

# Addition of Angular momentum

A quick point to note.

Its important to note the number of states before and after, and see that they match up.

If we add two spin  $\frac{1}{2}$  particles, the number of states before and after are four.

$$\blacktriangleright \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \left| \frac{1}{2}, -\frac{1}{2} \right\rangle$$

$$\blacktriangleright |1, 1\rangle$$

$$\blacktriangleright \left| \frac{1}{2}, \frac{1}{2} \right\rangle \left| \frac{1}{2}, -\frac{1}{2} \right\rangle$$

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$$\blacktriangleright |1, -1\rangle$$

$$\blacktriangleright \left| \frac{1}{2}, \frac{1}{2} \right\rangle \left| \frac{1}{2}, \frac{1}{2} \right\rangle$$

$$\blacktriangleright |0, 0\rangle$$

One should check this when adding angular momenta.

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We need  $j_1 = \frac{1}{2}$ ,  $j_2 = \frac{1}{2}$ , and  $S$  to “add” to give us  $j = \frac{1}{2}$ . (i.e.

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This means that  $S$  has to take value of either  $\frac{1}{2}$  or  $\frac{3}{2}$ , such that when we do  $j = j' + S$ , we will be able to get  $j = \frac{1}{2}$ .

# Spin Angular momentum and Spinors

Spin and the corresponding formalism is fairly important and useful.

We can write a spin-up ( $\uparrow$ ) state as  $|\frac{1}{2}, \frac{1}{2}\rangle$  and a spin-down ( $\downarrow$ ) as  $|\frac{1}{2}, -\frac{1}{2}\rangle$

We can instead also define the two states ( $\uparrow$  and  $\downarrow$ ), by using two-component column vectors.

$$|\frac{1}{2}, \frac{1}{2}\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad |\frac{1}{2}, -\frac{1}{2}\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

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Typically the most general state of a spin- $\frac{1}{2}$  particle is

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \alpha \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \beta \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

with  $|\alpha|^2 + |\beta|^2 = 1$  being the normalization condition.

Obviously, these form a basis in this spin-space.

# Spin Angular momentum and Spinors

We have  $\hat{S}_z |\frac{1}{2}, \pm \frac{1}{2}\rangle = \frac{\hbar}{2} |\frac{1}{2}, \pm \frac{1}{2}\rangle$

For the spinor notation, we define

$$\hat{S}_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

This naturally gives us

$$\begin{aligned} \hat{S}_z \begin{pmatrix} 1 \\ 0 \end{pmatrix} &= \frac{\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \hat{S}_z \begin{pmatrix} 0 \\ 1 \end{pmatrix} &= -\frac{\hbar}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{aligned}$$



## Spin Angular momentum

We define the Pauli spin matrices as

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

and define the components of spin as  $\hat{\mathbf{S}} = \left(\frac{\hbar}{2}\right) \boldsymbol{\sigma}$ .

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$$\text{Thus } \hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \frac{\hbar}{2} \sigma_x.$$

The eigenvalues of  $\hat{S}_x$  are  $\pm \frac{\hbar}{2}$  and the eigenvectors are

$$\chi_+ = \begin{pmatrix} 1/\sqrt{2} \\ 1/\sqrt{2} \end{pmatrix} \quad \chi_- = \begin{pmatrix} 1/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix}$$

These also form a basis.

# Spin Angular momentum

Suppose an electron is in the state  $\begin{pmatrix} \frac{1}{\sqrt{5}} \\ \frac{2}{\sqrt{5}} \end{pmatrix}$

If we measured  $\hat{S}_x$ ,  $\hat{S}_y$ , or  $\hat{S}_z$  what values will we get, with what probabilities?

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The eigenvectors of  $\hat{S}_z$  are  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ , and thus

$$\begin{pmatrix} \frac{1}{\sqrt{5}} \\ \frac{2}{\sqrt{5}} \end{pmatrix} = \frac{1}{\sqrt{5}} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \frac{2}{\sqrt{5}} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Thus if we measure  $\hat{S}_z$ , we will get  $\hbar/2$  with probability  $1/5$  and  $-\hbar/2$  with probability  $4/5$ .

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HW: find the eigenvectors of  $\hat{S}_y$ , and find the corresponding probabilities.

# Spin Angular momentum

Some useful properties of the Pauli spin matrices are

$$\sigma_i \sigma_j = \delta_{ij} + i\epsilon_{ijk} \sigma_k$$

where  $\delta_{ij}$  is the Kronecker delta, and  $\epsilon_{ijk}$  is the Levi-Civita symbol.

The commutation relations are

$$\begin{aligned} [\sigma_i, \sigma_j] &= 2i\epsilon_{ijk} \sigma_k \\ \{\sigma_i, \sigma_j\} &= 2\delta_{ij} \end{aligned}$$

Also, for any two vectors  $a$  and  $b$ ,

$$(\sigma \cdot a)(\sigma \cdot b) = a \cdot b + i\sigma \cdot (a \times b)$$



## Rotating spinors

A spinor transforms as follows when we rotate the coordinate axes

$$\begin{pmatrix} \alpha' \\ \beta' \end{pmatrix} = U(\theta) \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \quad \text{where } U(\theta) = e^{-i(\theta \cdot \sigma)/2}$$

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So for a rotation around Z axis by angle  $\delta$ , the operator would be

$$U(\delta) = \exp\left(\frac{-i\delta\sigma_z}{2}\right)$$

And for a general wave function, the operator would be

$$U(\theta) = e^{-i(\theta \cdot J)/\hbar}$$

# Angular momentum

Let us rewrite the operator as

$$U(\theta) = \exp\left(\frac{-i\theta \cdot J}{\hbar}\right) = \exp\left(\frac{-i\delta\hat{n} \cdot J}{\hbar}\right) = U_n(\delta)$$

where  $\delta$  is the magnitude of the rotation, and  $\hat{n}$  is a unit vector along the axis of rotation.

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If the system is invariant under rotation about  $\hat{n}$ , then the component of angular momentum along  $\hat{n}$  is conserved.

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Now we note one thing: If a Hamiltonian is  $H = H_0 + H_{mag}$ .

If  $H_0$  is isotropic, then we will have  $[H_0, J] = 0$ , but

$[H, J] = [H_0 + H_{mag}, J] \neq 0$ . The symmetry is broken.

Of course, the component of  $J$  along the magnetic field will still be conserved.