

# SPECTRAL THEORY FOR NON-NORMAL HILBERT SPACE OPERATORS

SAMEER CHAVAN

ABSTRACT. In this talk, we discuss the spectral theory for some non-normal operators on separable Hilbert spaces. Recall that a *Hilbert space*  $\mathcal{H}$  is an inner-product space endowed with the inner-product  $\langle \cdot, \star \rangle$ , which is complete in the induced norm  $\| \cdot \| \equiv \sqrt{\langle \cdot, \cdot \rangle}$ . By the *Hilbert space operator*  $T$  on  $\mathcal{H}$ , we mean a linear transformation  $T : \mathcal{H} \rightarrow \mathcal{H}$  satisfying

$$\|Th\| \leq M\|h\| \quad (h \in \mathcal{H})$$

for some finite number  $M > 0$ . A linear operator  $T^* : \mathcal{H} \rightarrow \mathcal{H}$  is said to be the *adjoint* of  $T$  if it satisfies

$$\langle Tx, y \rangle = \langle x, T^*y \rangle \quad (x, y \in \mathcal{H}).$$

A linear operator  $N$  on  $\mathcal{H}$  is said to be *normal* if  $N^*N = NN^*$ . Multiplication operators on Hilbert spaces of square-integrable functions provide basic examples of normal operators. Any operator  $T$  on  $\mathcal{H}$  for which  $T^*T - TT^* \neq 0$  is *non-normal*. Any *isometry* (that is, an operator  $T$  satisfying  $T^*T = I$ ) which is not surjective is a rather special example of a non-normal operator.

In the first half of this talk, we discuss one of the cornerstones of the Operator Theory: Spectral Theorem for Normal Operators. This theorem roughly asserts that any normal operator  $N$  can be obtained by integrating the co-ordinate function on the compact subset  $\sigma(N)$  of the complex plane with respect to a “nice” projection-valued measure. Moreover, one can make sense out of  $f(N)$  for a class of functions  $f$  which include, in particular, continuous functions. In the remaining half, we address the following delicate question:

*What is the “size” of  $T^*T - TT^*$  for a non-normal operator  $T$ ?*

There are two well-studied classes of non-normal operators for which the above question can be answered to a greater extent. In the case of hyponormal operators (operators for which  $T^*T - TT^* \geq 0$ ), the most remarkable answer is due to Berger and Shaw ([2]). In the case of 2-isometries (operators for which  $I - 2T^*T + T^{*2}T^2 = 0$ ), the same is a recent achievement due to the speaker ([5]). Needless to say, these results have far-reaching consequences to the spectral theory for non-normal operators. The proof of the Berger-Shaw-type result for 2-isometries relies heavily on the basic theory of the so-called Cauchy dual operators, a subject of independent interest ([8]). We also plan to discuss some recent developments related to the Cauchy dual operators in the unbounded and multi-variable operator theory ([6] and [7]).

## REFERENCES

- [1] J. Agler, *A disconjugacy theorem for Toeplitz operators*, Amer. J. Math. **112** (1990), 1–14.
- [2] C. Berger and B. Shaw, *Self-commutators of multicyclic hyponormal operators are always trace class*, Bull. A.M.S. **79** (1973), 1193–1199.
- [3] W. Arveson, *Subalgebras of  $C^*$ -algebras. III. Multivariable operator theory*, Acta Math. **181** (1998), 159–228.
- [4] A. Athavale, *On completely hyperexpansive operators*, Proc. Amer. Math. Soc. **124** (1996), 3745–3752.

- [5] S. Chavan, *On operators Cauchy dual to 2-hyperexpansive operators-I*, Proc. Edin. Math. Soc. **50** (2007), 637-652.
- [6] S. Chavan, *On operators Cauchy dual to 2-hyperexpansive operators-II*, preprint.
- [7] S. Chavan and R. Curto, *On operators Cauchy dual to 2-hyperexpansive operators-III*, in preparation.
- [8] H. Hedenmalm, B. Korenblum, K. Zhu, *Theory of Bergman Spaces*, Springer-Verlag, New York 2000.
- [9] M. Martin and M. Putinar, *Lectures on hyponormal operators*, Operator Theory: Advances and Applications, 39. Birkhuser Verlag, Basel, 1989.
- [10] S. Richter, Invariant subspaces of the Dirichlet shift, *J. Reine Angew. Math.* **386** (1988), 205-220.
- [11] S. Shimorin, *Wold-type decompositions and wandering subspaces for operators close to isometries*, *J. Reine Angew. Math.* **531** (2001), 147-189.

SAMEER CHAVAN

*Department of Mathematics and Statistics*

*Indian Institute of Technology Kanpur*

*Kanpur- 208016*

*E-mail Address: chavan@iitk.ac.in*