

If we ignore the exclusion principle, then the 2-electron Schrödinger equation can be reduced to 2 equations

$$\left[ \frac{1}{2} \nabla_a^2 + E_a - V_a(r_a) \right] \psi_a(r_a) = 0 \quad \{a=1, 2\}$$

In the non-interacting electrons approximation  $V_a(r_a) = -Z/r_a$ , but we can improve on this by following Hartree's method which suggests that  $V_a(r_a)$  can be taken to be the potential seen by electron  $a$  due to the combined field of the nucleus and electron  $b$ . To obtain  $V_1(r_1)$  for instance, we set the equation

$$V_1(r_1) = -\frac{Z}{r_1} + \int \frac{1}{r_{12}} |\psi_2(r_2)|^2 d\tau_2$$

$\uparrow$  effect. potential seen by elec' 1.       $\uparrow$  nuclear field       $\uparrow$  2<sup>nd</sup> electron field       $\uparrow$  probability of electron 2 being at  $r_2$

similarly  $2 \leftrightarrow 1$ , giving 2 simultaneous equations.

The binding energy of the system is

$$E = E_1 + E_2 - \iint |\psi_1(r_1)|^2 \frac{1}{r_{12}} |\psi_2(r_2)|^2 d\tau_1 d\tau_2$$

$\uparrow$   
subtracted since it has been included twice,  
once in  $V_1$  (or  $E_1$ ) and in  $V_2$  (or  $E_2$ )

Equations for  $V_1(r_1)$  and  $V_2(r_2)$  are to be solved iteratively, starting from some guess / trial function.

Hartree's Self consistent Field method does not take into account the Pauli exclusion principle.

The generalisation of the Hartree-SCF method was done by Fock, who proposed that if  $\Psi(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_n)$  is a suitably antisymmetrised grand wavefunction which is composed of linear combinations of products of spin-orbital wavefunctions,  $\phi_i$  (e.g. Slater determinant) then the grand wavefunction  $\Psi$  must satisfy be such that it corresponds to the minimum of the Energy w.r.t. variations in the spin orbitals  $\phi_i$  subject to the constraint

That is

$$\frac{\delta}{\delta \phi_i} \left[ \underbrace{\langle \hat{H} \rangle}_{\text{full hamiltonian}} - E \sum_j \int |\phi_j|^2 d\tau_j \right] = 0$$

↑ normalisation constraint

$$\langle \Psi | \hat{H} | \Psi \rangle = \text{minimum} \quad \langle \Psi | \Psi \rangle = 1.$$

$$\int \delta \Psi^* (\hat{H} - E) \Psi d\tau_1 d\tau_2 = 0 \quad \{\text{constrained extremum}\}$$

$$\left[ \frac{1}{2} \nabla^2 + \frac{Z}{r} + E - H_{22} - G_{22}(r) \right] \phi_1(r) + [H_{12} + G_{12}(r)] \phi_2(r) = 0$$

$$\left[ \frac{1}{2} \nabla^2 + \frac{Z}{r} + E - H_{11} - G_{11}(r) \right] \phi_2(r) + [H_{12} + G_{12}(r)] \phi_1(r) = 0$$

$$\text{where } G_{ab}(r_1) = \int \phi_a(r_2) \phi_b(r_2) \frac{1}{r_{12}} d\tau_2$$

$$\left[ \text{similarly } G_{ab}(r_2) \right]$$

$$H_{ab} = \int \phi_a \left[ -\frac{1}{2} \nabla^2 - \frac{Z}{r} \right] \phi_b d\tau$$

$$[a, b = 1, 2]$$

These two equations are to be solved simultaneously.

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The generalised form of the antisymmetrised many particle wavefunction composed of one-particle spin-orbitals is given by the Slater determinant.

$$\Psi(\vec{r}_1 \dots \vec{r}_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_a(1) & \phi_b(1) & \dots & \phi_n(1) \\ \phi_a(2) & & & \\ \vdots & & & \\ \phi_a(N) & & & \phi_n(N) \end{vmatrix}$$

The spin-orbitals are orthonormalised, i.e.  $\langle \phi_a | \phi_b \rangle = \delta_{\alpha\beta}$ . Since the spin variables only take values  $\pm \frac{1}{2}$ , it follows that the space orbitals corresponding to the same spin function be orthonormal.

The Slater det. is sometimes written in the form

$$\Psi = \frac{1}{\sqrt{N!}} \sum_P (-1)^P P \phi_a(1) \dots \phi_n(N) \equiv \sqrt{N!} A \Phi \Psi$$

where  $A = \frac{1}{N!} \sum (-1)^P P$

The direct product  $\Psi^{(H)} = \phi_a(1) \dots \phi_n(N)$  is called the Hartree wavefn.

The Hamiltonian of the N-particle system is

$$\hat{H} = \underbrace{-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i}}_{H_{NI} \text{ (non-interacting)}} + \underbrace{\sum_{i>j} \frac{1}{r_{ij}}}_{H_I \text{ (interacting)}} \quad \left\{ \hat{H}_{NI} = \sum_i \hat{h}_i \right\}$$

The energy of this system  $E[\Psi] = \langle \Psi | \hat{H} | \Psi \rangle$

$$\langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi | \sum_i \hat{h}_i + \sum_{i>j} \frac{1}{r_{ij}} | \Psi \rangle$$

~~We note that  $\frac{1}{\sqrt{N!}} \sum_P (-1)^P P \phi_a(1) \dots \phi_n(N) = \frac{1}{\sqrt{N!}} \sum_P (-1)^P P \Psi$~~

~~$\langle \Psi | \hat{h}_i | \Psi \rangle = \sum_x \langle \phi_i | \hat{h}_i | \phi_i \rangle$~~

~~$\langle \Psi | \hat{H}_{int} | \Psi \rangle =$~~

## 2-electron Hartree-Fock equations

$$[-h_1 + E - H_{22} - G_{22}(r_1)] \phi_1(r_1) + [H_{12} + G_{12}(r_1)] \phi_2(r_1) = 0$$

$$[-h_2 + E - H_{11} - G_{11}(r_2)] \phi_2(r_2) + [H_{12} + G_{12}(r_2)] \phi_1(r_2) = 0$$

where  $\hat{h}_{1,2} = -\frac{1}{2} \nabla_{1,2}^2 - \frac{z}{r_{1,2}}$ ; generically written simply as  $\hat{h}$

and.

$$H_{ij} = \int \psi_i \hat{h} \psi_j d\tau$$

$$G_{ij}(\vec{r}_1) = \int \psi_i(\vec{r}_1) \psi_j(\vec{r}_2) \frac{1}{r_{12}} d\tau_2$$

$$G_{ij}(\vec{r}_2) = \int \psi_i(\vec{r}_1) \psi_j(\vec{r}_2) \frac{1}{r_{12}} d\tau_1$$

$$E = \langle \Psi | \hat{H} | \Psi \rangle ; \quad \Psi = \psi_1(1) \psi_2(2) - \psi_2(1) \psi_1(2)$$

Generalisation to many electrons ( $a = 1, \dots, n$ )

$$h_i \phi_a(\vec{r}_i) + \left[ \sum_b \int \phi_b^*(\vec{r}_j) \frac{1}{r_{ij}} \phi_b(\vec{r}_j) d\tau_j \right] \phi_a(\vec{r}_i)$$

$$- \sum_b \left[ \int \phi_b(r_j) \frac{1}{r_{ij}} \phi_a(r_j) d\tau_j \right] \phi_b(\vec{r}_i) = E_a \phi_a(\vec{r}_i)$$

$$\langle E \rangle = \sum_a I_a + \frac{1}{2} \sum_a \sum_b (J_{ab} - K_{ab})$$

$$I_a = \int \phi_a^* h \phi_a d\tau$$

$$J_{ab} = \int |\phi_a(r_i)|^2 \frac{1}{r_{ij}} |\phi_b(r_j)|^2 d\tau_i d\tau_j$$

$$K_{ab} = \int \phi_a^*(r_j) \phi_b^*(r_i) \frac{1}{r_{ij}} \phi_a(r_i) \phi_b(r_j)$$

$$= \int \phi_a^*(r_j) \phi_a(r_i) \frac{1}{r_{ij}} \phi_b^*(r_i) \phi_b(r_j)$$

1 Feb 2018 (1)

A collection of atoms <sup>has atoms in</sup> ~~is in~~ superpositions of their eigenstates. They make transitions between eigenstates under the action of an external perturbation.

Without loss of generality, we may model this system by a two-level system between which transitions occur under an external influence.

The perturbation is a time dependent perturbation  $\hat{H}'$  to the original hamiltonian  $\hat{H}_0$  and the equation of motion of this system is

|| originally:  $i\hbar \frac{\partial \Psi}{\partial t} = \hat{H}_0 \Psi$

with solutions  $\Psi_n(\vec{r}, t) = \psi_n(\vec{r}) e^{-iE_n t/\hbar}$

to which we apply the shorthand:  $\omega_n = E_n/\hbar$ , so that

||  $\Psi_n(\vec{r}, t) = \psi_n(\vec{r}) e^{-i\omega_n t}$

and the general state of the system (unperturbed) would be

||  $\Psi \equiv \Psi_{(grand)} = \sum_n \psi_n(\vec{r}) e^{-i\omega_n t}$

For a two level atom this reduces to  $\Psi = c_1 \psi_1 e^{-i\omega_1 t} + c_2 \psi_2 e^{-i\omega_2 t}$  with  $|c_1|^2 + |c_2|^2 = 1$ , to conserve probability.

To find the changes due to the perturbation we need to solve

||  $i\hbar \frac{\partial \Psi}{\partial t} = (\hat{H}_0 + \hat{H}') \Psi$

substituting  $\Psi = c_1 \psi_1 e^{-i\omega_1 t} + c_2 \psi_2 e^{-i\omega_2 t}$  in the above, we get

$$i\hbar [-i\omega_1 c_1 \psi_1 e^{-i\omega_1 t} + \dot{c}_1 \psi_1 e^{-i\omega_1 t} - i\omega_2 c_2 \psi_2 e^{-i\omega_2 t} + \dot{c}_2 \psi_2 e^{-i\omega_2 t}] = \hat{H}_0 [c_1 \psi_1 e^{-i\omega_1 t} + c_2 \psi_2 e^{-i\omega_2 t}] + \hat{H}' [c_1 \psi_1 e^{-i\omega_1 t} + c_2 \psi_2 e^{-i\omega_2 t}]$$

$i\hbar [\dot{c}_1 e^{-i\omega_1 t} \psi_1 + \dot{c}_2 e^{-i\omega_2 t} \psi_2] = \hat{H}' [c_1 e^{-i\omega_1 t} \psi_1 + c_2 e^{-i\omega_2 t} \psi_2]$

we premultiply the eqn by  $\psi_1^*$  or  $\psi_2^*$  and perform a spatial integration

$$i\hbar [\dot{c}_1 e^{-i\omega_1 t} \langle \psi_1 | \psi_1 \rangle + \dot{c}_2 e^{i\omega_2 t} \langle \psi_1 | \psi_2 \rangle] = c_1 e^{-i\omega_1 t} \langle \psi_1 | \hat{H}' | \psi_1 \rangle + c_2 e^{-i\omega_2 t} \langle \psi_1 | \hat{H}' | \psi_2 \rangle$$

$\downarrow$   $\downarrow$   $\downarrow$   
 $= 1$   $= 0$   $= H'_{21}$

$$i\hbar \dot{c}_1 e^{-i\omega_1 t} = c_1 e^{-i\omega_1 t} H'_{11} + c_2 e^{-i\omega_2 t} H'_{21}$$

$$\left[ \dot{c}_1 = \frac{-i}{\hbar} \left[ c_1 H'_{11} + c_2 H'_{21} \exp[-i(\omega_2 - \omega_1)t] \right] \right]$$

$\uparrow$  rate of change of population of  $\psi_1$      
  $\uparrow$  matrix elements of the perturbation     
  $\uparrow$  resonance condition for mixing  $\psi_1$  and  $\psi_2$

Had we <sup>pre</sup> multiplied by  $\psi_2^*$  we would have got the condition for  $c_2$

$$\left[ \dot{c}_2 = \frac{-i}{\hbar} \left[ c_1 H'_{21} \exp[+i(\omega_2 - \omega_1)t] + c_2 H'_{22} \right] \right]$$

We use the shorthand:  $\omega_{21} = \omega_2 - \omega_1$  henceforth

### EXTERNAL RADIATION AS A PERTURBATION

In this case the perturbing field is an oscillating electric and magnetic fields that are out of phase

$$\begin{cases} E = E_0 \exp[i(kx - \omega t)] \\ B = B_0 \exp[i(kx - \omega t + \pi/2)] \end{cases}$$

Since the typical wavelengths  $\lambda$  associated with visible or UV radiation (which is energetic enough to excite most atoms) is much larger than the atomic size, [ $\lambda \cong 100 \text{ nm}$ ,  $r_{\text{atom}} \sim 0.1 \text{ nm}$ ], we may take the electric field to be constant in space for this treatment of radiation interaction.

$$\left\{ \begin{array}{l} \text{constant in space} \Rightarrow e^{ikx} = 1 + ikx + (ikx)^2/2! + \dots \\ \cong 1 \end{array} \right\}$$

Thus we can take  $E = E_0 \cos(\omega t)$  and  $B = B_0 \sin(\omega t)$ .

The magnetic interaction with radiation is  $-\vec{\mu} \cdot \vec{B}$

The electric interaction with radiation is  $-\vec{p} \cdot \vec{E}$

The former is much weaker than the latter and is hence ignored.

$$\text{Thus } \hat{H}' = -\vec{p} \cdot \vec{E}$$

$$= +e\vec{z} E_0 \cos(\omega t)$$

since  $\vec{p} = -e\vec{r}$   
and  $\vec{E}_0$  may be taken to  
be along  $\hat{z}$

CALLED DIPOLE APPROXIMATION

We then obtain

$$\begin{cases} H'_{11} = \langle \psi_1 | z | \psi_1 \rangle \epsilon_0 \cos(\omega t) \\ H'_{22} = \langle \psi_2 | z | \psi_2 \rangle \epsilon_0 \cos(\omega t) \end{cases} \Rightarrow = 0$$

These two matrix elements are identically zero due to the odd parity of  $z$  but

$$H'_{21} = H'_{12} = \langle \psi_1 | z | \psi_2 \rangle \epsilon_0 \cos(\omega t) \text{ can be non-zero, if}$$

$\psi_1$  and  $\psi_2$  are of opposite parities.

If we define  $\Omega_{21} = \frac{e\epsilon_0}{\hbar} \langle \psi_1 | z | \psi_2 \rangle$ , then the equations for  $\dot{c}_1$  and  $\dot{c}_2$  take the form

$$\dot{c}_1 = -i\Omega_{21} \cos(\omega t) e^{-i\omega_{21}t} c_2$$

$$\dot{c}_2 = -i\Omega_{21} \cos(\omega t) e^{+i\omega_{21}t} c_1$$

►  $\Omega$  is called "Rabi Frequency"

►  $\Omega_{21}$  is interpreted as the "hopping" rate between states 1 and 2

►  $\Omega_{21}$  is not to be confused with the freq of radiation, which is  $\omega_{21}$

The quantity  $e \langle \psi_2 | z | \psi_1 \rangle$  is the expectation value of the quantum mechanical dipole moment, called the dipole matrix element

### WEAK RADIATION

Let us assume that the external radiation is weak, i.e.  $N_{\text{photons}} \ll N_{\text{atoms}}$

If the atoms are originally in their ground state (usually the case, since temperatures are low compared to excitation energies), then

we can make a further approximation:

$$c_2(t) \ll c_1(t) \quad \forall t; \quad c_1(t) \approx 1$$

but  $c_2(t) \neq 0$  and  $|c_1(t)|^2 + |c_2(t)|^2 = 1$  at all times.

The earlier equations simplify to

$$\dot{c}_2 = -i\Omega_{21} \cos(\omega t') e^{+i\omega_{21}t'}$$

[where  $t'$  is the running variable for time]

$$= \frac{-i}{2} \Omega_{21} \left\{ \exp [i(\omega + \omega_{21})t'] + \exp [-i(\omega - \omega_{21})t'] \right\}$$

edited

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To obtain  $c_2(t)$  from this equation we need to integrate from  $t'=0$  to  $t'=t$ . Noting that  $c_2(0)=0$ , we get

$$c_2(t) = -\frac{1}{2} \Omega_{21} \left[ \frac{e^{i(\omega+\omega_{21})t'}}{\omega+\omega_{21}} - \frac{e^{-i(\omega-\omega_{21})t'}}{\omega-\omega_{21}} \right]_0^t$$

$$= \frac{1}{2} \Omega_{21} \left[ \frac{1 - e^{i(\omega+\omega_{21})t}}{\omega+\omega_{21}} - \frac{1 - e^{-i(\omega-\omega_{21})t}}{\omega-\omega_{21}} \right]$$

If  $\omega$  and  $\omega_{21}$  differ widely, then  $|c_2(t)|^2$  changes very slowly and the system mostly stays in  $|1\rangle$  with weak oscillations to  $|2\rangle$ .

However, if  $\omega \approx \omega_{21}$  then the second term makes a large contribution to  $c_2(t)$  and we may write

$$c_2(t) = -\frac{1}{2} \Omega_{21} \left[ \frac{1 - e^{-i(\omega-\omega_{21})t}}{(\omega-\omega_{21})} \right]$$

$$= -\frac{1}{2} \Omega_{21} e^{-i(\omega-\omega_{21})t/2} \left[ \frac{e^{+i(\omega-\omega_{21})t/2} - e^{-i(\omega-\omega_{21})t/2}}{\omega-\omega_{21}} \right]$$

$$\therefore \left[ |c_2(t)|^2 = \left( \frac{\Omega_{21}}{2} \right)^2 \left[ \frac{\sin^2[(\omega-\omega_{21})t/2]}{(\omega-\omega_{21})/2} \right]^2 \right]$$

This is the probability of transition to state  $|2\rangle$  from state  $|1\rangle$ . Recall, that  $\Omega_{21} = eE_0 \langle 2|z|1\rangle / \hbar$ , so under this approximation only states with different parities are coupled.